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SPECTRAL VARIABILITY ANALYSIS FOR CROP SPECIES IDENTIFICATION USING HYPERSPECTRAL DATA - A CASE STUDY OF TAITA HILLS, KENYA

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ABSTRACT:

Recent advances in hyperspectral remote sensing techniques and technologies allow us to more accurately identify larger range of crop species from airborne measurements. This study employs hyperspectral AISA Eagle VNIR imagery acquired with about 9 nm spectral and 0.6 m spatial resolutions over a spectral range of 400 nm to 1000 nm. The area of study is the Taita Hills in Kenya. Various crops are grown in this region basically for food and as an economic activity. The most important crop species addressed here are: maize, bananas, avocados, sugarcane and mango trees. Spectral signatures of crops are known to vary both within and between the species. The spectral reflectance of vegetation is affected by e.g. leaf optical properties, leaf angle and spatial distribution.

Emphasis has to be given to the determination of spectral variation of crops in order to accurately identify species from each other. Contribution of scene components such as soil reflectance and shadows, have to be accounted for too. One of the main objectives of this study was to study what crop species can be distinguished from the cultivated population of local crop species, and what feature space discriminates most effectively the spectral signatures of different species. Spectral Angle Mapper (SAM) together with some dissimilarity concepts was applied in this work. The spectral signatures for crops were collected using accurate field plot maps. Accuracy assessment was done using independent polygons (not used for classification) and achieved an overall accuracy of 77% with a kappa value of 0.67.

1. INTRODUCTION

1.1 Overview

Hyperspectral remote sensing data can provide a significant spectral measurement capability over the conventional remote sensor systems and hence becomes very useful in identification and modelling of terrestrial ecosystem characteristics. Not long ago, mapping was

mainly using satellite (space borne) data for large area mapping but for small regions, it used aerial images and in most cases, the result was just a land cover map combining several classes of pixels having some broad similarity. The need to discriminate crop species to know their health, location and distribution has paved way in this decade due to available sensors which can detect at high spatial and spectral

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resolutions of natural and man-made features on the surface of the earth. The advancement not only on the sensor availability but also the technology used to discriminate the various spectra of different species has become a boost to mapping. Many technologies have been used for extracting terrestrial features from hyperspectral imagery. Principal component analysis (PCA) among other algorithms for crop classification has yielded good results (Mader, *et al.* 2006). Step-wise discriminant analysis (SDA) and derivative greenish vegetation indices (DGVI) to classify and characterise both vegetation and agricultural crops have been used (Thenkabail. *et al.*, 2004). Dissimilarity based approaches have also given good representation of hyperspectral data (Packlik, *et al.* 2002). Tree species identification has been one area of interests for scientist dealing with forests and vegetation mapping. Statistical methods to identify tree species in forest have shown good and accurate results. Nevertheless, Artificial Neural Networks (ANN) and Linear discriminant analysis (LDA) have given reliable results in tree species identification.

Some other approaches in coastal environments have been made to identify mangrove species using both object-based and pixel-based classification methods. A comparison has been given and in this regard, results indicates that object based mapping approach is better than pixel-based approach with a difference of just about 7% overall accuracy and 0.1 kappa (Kamal and Phinn. 2011). All these shows that crop species can be discriminated in a similar if not a different but close approach.

1.2 Objectives

The objectives of this study were (1) to examine the capability of hyperspectral data to distinguish selected crops in a cultivated environment in the Taita Hills in Kenya, (2) to evaluate the spectral angle divergence of various crops and use this to discriminate the crop species and (3) to use independent validation samples to assess the accuracy of the classifications.

2. STUDY AREA AND HYPERSPETRAL DATA COLLECTION

2.1 Taita Hills, Kenya

Taita Hills, (03°20'S, 38°15'E) in Kenya are one of the biodiversity hot spot in Eastern Africa. A wide range of studies have been made recently in this area for instance, on land use, land degradation, soil erosion, biodiversity, urban growth and sacred forest remnants. The area is facing a population growth and intensification of agriculture, which is the major economic activity for the Taita community. Although the terrain varies from 600 m to about 2200 m.a.s.l, farmers cultivate various crops ranging from maize (*Zea mays*), bananas (*Musa paradisiaca*), fruits and even fodder crops for animals, which are normally put in zero-grazing system.

2.2 Hyperspectral Data Collection

Hyperspectral data was collected using AISA Eagle VNIR sensor system. Its accuracy is ranging in the following domain: 9 nm and 0.6 m in both spectral and spatial resolutions respectively over a spectral range of 400 nm to 1000 nm. This gives 64 spectral bands. The fieldwork measurements were conducted simultaneously with hyperspectral data acquisition. The flying height was about 2,400 m.a.s.l. Accurate photographs taken by the Nikon 3DX camera, which was attached together with the AISA sensor on board during the time of flight, were used to map every species in the selected plots. This data were used as ground truth and training data. Spectral signatures of crops are known to vary due to leaf optical properties, leaf angles and spatial distribution. Signatures also vary from leaf to canopy scales. The spatial resolution for this datasets was kept at 0.6 meters, which is more accurate in discriminating the various crops especially for areas such as maize plantations, banana farms, and large fruits trees such as mangoes and avocados. It is not certain yet for crops that have small body sizes and those with

very thin leaves if this discrimination would be in use.

Eight sampled plots were geo-referenced in order to get exact location of the crops in the plots. A detailed aerial mosaic was used to overlay the geo-referenced maps onto it and training polygons with respect to the crops were on-screen digitized with ArcGIS 10 out of the maps. Small regions depicting the spectral patterns for the specified crop were then generated and saved as regions of interests (ROIs) and later used as endmembers.

3. ANALYSIS METHODS

3.1 Pre-Processing of Spectral Imagery

The hyperspectral image collected was subjected for pre-processing. This catered for three distortions: radiometric, geometric and atmospheric effects. Radiometric corrections applied were to correct for sensor sensitivity, solar angle and topography. This correction was done using the CaliGeo Pro software which runs under ENVI software. Geometric correction was basically applied to have a geometrically correct image. This process was done using PARGE software. Digital elevation model at 20-meter resolution was resampled to 0.6 meter spatial resolution which conformed to the image spatial resolution. Atmospheric corrections was finally done to remove the atmospheric effects. The image was checked using the z-profile tools and spectral reflectance on every cursor location of the image was analysed. No distortion was evident. This procedure was conducted using the ATCOR-4 software which is specifically designed for correcting for atmospheric distortions.

3.2 Spectral Extraction

For spectral extraction, 148 digitized polygons were used to derive endmembers for crop classification. They were extracted from the sampled plots. These comprised of maize (*Zea mays*), bananas (*Musa paradisiaca*), mangoes (*Mangifera indica*), avocados (*Persea*

americana), sugarcane (*Socharum spp.*) and farm trees such as Cypress (*Cupressus dupreziana*), Grevillea (*Grievillea robusta*) among others. They were further divided into two datasets so that about 30% of every class was reserved for accuracy assessment and 70% was used for classification.

Table 1. Number of samples used for classification and accuracy assessment.

Classes	Classification	Accuracy Assessment	Total
Bananas	12	3	15
Trees	5	2	7
Mangoes	39	9	48
Sugarcane	5	2	7
Avocado	39	8	47
Maize	18	6	24

3.3 Endmember Selection

Theoretically, existing pure features in mixed pixels are referred to as endmembers and their collection describes all spectral variability for all pixels in a given image. Endmembers for this study were selected to enable mapping of the selected crops using spectral angle mapper (SAM) algorithm of Envi software. Figure 1 shows the spectral reflectance of the six-collected endmembers in different colours. Blue corresponds to maize plantations, cyan on the other hand corresponds to sugarcane whereas yellow is for mango trees. Magenta is representing agro-forestry, green for bananas and finally the avocados are represented by red colour.

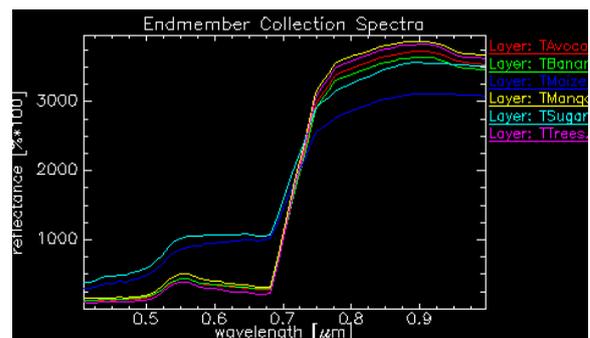


Figure 1. Spectral profiles of the six endmembers extracted from the input image.

3.4 Spectral Angle Mapper (SAM) Algorithm

Spectral Angle Mapper (SAM) is a physically-based spectral classification that uses an n-D angle to match pixels to reference spectra. It assumes that data have been reduced to apparent reflectance (true reflectance multiplied by some unknown gain factor controlled by topography and shadows). The algorithm determines the spectral similarity between two spectra by calculating the angle between the spectra and treating them as vectors in a space with dimensionality equal to the number of bands (n). This technique, when used on calibrated reflectance data, is relatively insensitive to illumination and albedo effects. Endmember spectra used by SAM can come from ASCII files or spectral libraries, or one can extract them directly from an image (as ROI average spectra). SAM compares the angle between the endmember spectrum vector and each pixel vector in n-D space. Smaller angles represent closer matches to the reference spectrum. The result is a classification image showing the best match. Pixels further away than the specified maximum angle threshold in radians are not classified. SAM was used to classify the selected crop species in the Taita Hills. The spectral angle of dissimilarity was kept at 0.1 radians.

4. RESULTS

4.1 Visual Interpretation of the Classified Map

Spectral map relates to the spectrum that is generated from the end members selected. After classification, the classified map was linked to the colour infra-red image that was used to extract the spectra. A visual analysis was done. Most of the features were classified such as maize plantations, trees and sugarcane. The colour representation of the data was similar to the colour scheme in Figure 1.

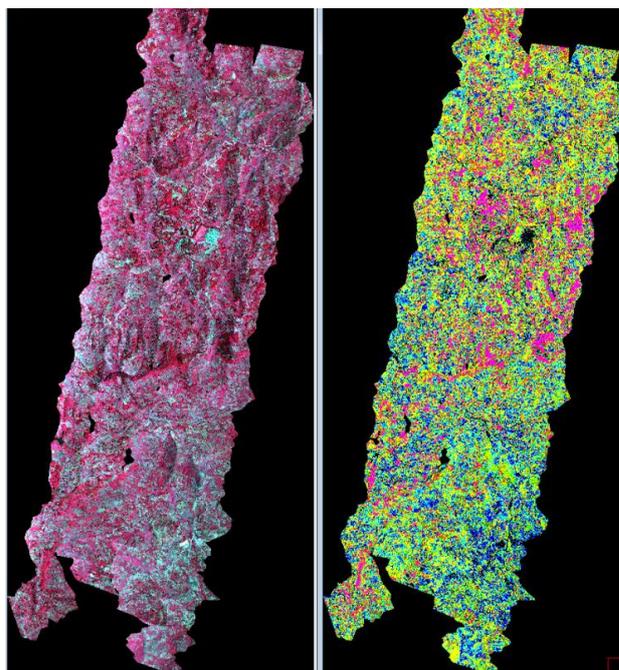


Figure 2. Colour Infra Red (CIR) and classified image scene.

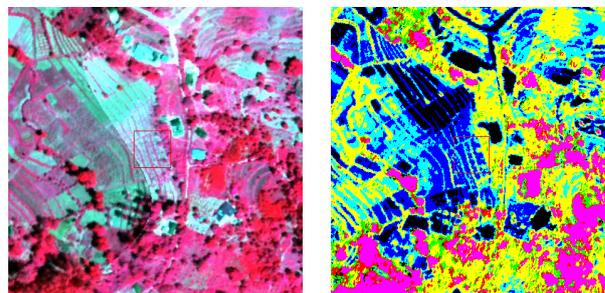


Figure 3. Partial views of CIR image (left) and corresponding classified image (right).

4.2 Error and Accuracy Assessment

Accuracy assessment gave an overall accuracy of 77% and kappa of 0.67. Table 2 shows the contingency matrix. The values are the number of pixels classified in every class from the total pixels that were used in the classification process. Many pixels though are seen to be unclassified, indicated on the table 2 above as others. Producer's and user's accuracies were also tabulated, (Table 3). It is evident that there was a high omission with respect to the trees and avocados. The trees and avocados were poorly classified in the final map. Trees had some similar spectra to some crops, and the

major crop here was the avocado. This ended up with a misclassification of avocado species for trees. The selection of tree samples was achieved from forest patches from aerial mosaic in which there exists various species of

trees. There is a high correlation between the producer of the classification and the user of the classified map in that the difference between the user and producer's accuracies in every class is less than 10 percent.

Table 2. Confusion matrix of the classification.

Classified Map	Reference (number of pixels)							
	Others	Trees	Avocado	Banana	Maize	Mango	Sugar	Total
Others	80617975	0	0	100298	342	212573	156476	81087664
Trees	0	25164	6040260	1906081	0	0	0	7971505
Avocado	0	1506863	459680	3521512	0	0	0	5488055
Banana	0	1028855	480	3539700	0	3623361	0	8192396
Maize	26399	0	0	0	4472268	4906	5957295	10460868
Mango	0	3055333	298202	0	0	23030495	0	26384030
Sugar	996	0	0	0	4457897	2396420	6733826	13589139
Total	80645370	5616215	6798622	9067591	8930507	29267755	12847597	153173657

Table 3. Producer's and user's accuracies.

Classes	producer's	User's
Trees	0.5%	0.3%
Avocadoes	6.80%	8.4%
Bananas	49%	43.2%
Maize	50.1%	42.8%
Mangoes	78.7%	87.3%
Sugarcane	52.4%	49.6%
Others	99.9%	99.4%

5. DISCUSSION

Crop type classification indicates that it is possible to discriminate various crops using AISA Eagle VNIR data and the spectral angle mapper (SAM) algorithm. The confusion matrix shows that most classes were classified to be trees due to the spectral angle between them being as closest. Bananas, avocadoes, mangoes and trees (*call this cluster 1*) had very similar profile. A distinction between maize and sugarcane (*call this cluster 2*) is much better than that of *cluster 1*. Spectral range between 500nm to 700nm can be seen to separate not only the two clusters but also the different crop types.

The unclassified pixels (others) constituted mainly reflective natural and man-made features such as buildings, roads and water bodies. These were not considered for endmember selection but the pixels are part of the input image for classification. Table 3 shows the producer's and user's accuracies. Mangoes, sugarcane, maize and bananas had good producer's and user's accuracies. Avocadoes were poorly classified even though it was the class with most endmembers (see Table 1). The reason could be linked to their close reflectance with other trees.

One disadvantage endured in this study is the limitation to distinguish the trees in crop land. Trees here were summation of several species from the agro-forestry areas. It can be argued that just as crops differ in their reflectance from crop to crop, it is also true that there's a reflectance difference between tree to tree and that crop types such as mangoes and avocadoes are also trees in their nature. In identifying crop types in a cultivation region, it is wise to identify various tree species within the agro-forestry environment too.

6. CONCLUSION

Classification of crop types is possible using AISA Eagle VNIR data and spectral angle mapper algorithm. This study focused entirely on sampled field plots (*polygons mapped from plots with respect to each crop represented therein*) and spectral signatures extracted from the input airborne hyperspectral image to map out selected crops. Many studies have shown good results with this method although object based approach instead of pixel - based could yield a more accurate result (Kamal and Phinn, 2011).

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